# <sup>1</sup> Submesoscale air-sea interactions as revealed by SWOT

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## 6 Key Points:

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7	•	We assess the capability of the satellite SWOT to measure air-sea interactions from
8		space
9	•	We document a case in the Gulf Stream region during which different ocean and

- 10 atmosphere satellite products were available
- Sea surface temperature anomalies affect surface winds at scales of a few kilome ters

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#### 13 Abstract

At midlatitudes, air-sea interactions have been documented in numerical models, in situ 14 campaigns and satellite observations down to the ocean mesoscales. However little is known 15 about scales of a few kilometers (the submesoscales). The new satellite mission SWOT 16 provides a global coverage of these scales by measuring sea surface height. In addition, 17 it provides surface wind speeds at the same resolution. Here, we take profit of this new 18 dataset to examine a particular situation in the Gulf Stream area when scatterometer 19 winds, SST and Chlorophyll at kilometer scales were available. A good correspondence 20 between winds from SWOT and scatterometers is found at the mesoscales. More impor-21 tantly, we show that SWOT detects submesoscale features of 10 km scales, correlated 22 with SST anomalies and Chlorophyll. We conclude that SWOT will be a tremendous 23 instrument for air-sea interactions studies through its surface wind measurements. 24

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## Plain Language Summary

The Surface Water and Ocean Topography (SWOT) mission has been designed to 26 monitor the global ocean at fine scales (i.e. down to a few kilometers) through the mea-27 sure of sea surface height (from which ocean currents can be computed). However, SWOT's 28 capability is not limited to this, since it also provides other important climate variables, 29 such as surface winds. This suggests the possibility to better understand the relation be-30 tween the ocean and the atmosphere at fine scales. In the Gulf Stream region, using SWOT's 31 dataset as well as other satellite products, we show that there is a high correlation be-32 tween sea surface temperature and winds, with weaker winds above cold oceanic fine scales. 33 Such a result agrees with theoretical mechanisms about the effect of the ocean on the 34 atmosphere on scales of hundreds of kilometers. We then conclude that SWOT is a pow-35 erful tool to document air-sea interactions at kilometer scales from space. 36

#### 37 1 Introduction

Oceanic submesoscale currents (corresponding to scales O(10)km) have received considerable attention in the last two decades for their non-negligible role in the climate system (see reviews by Klein & Lapeyre, 2009; McWilliams, 2016; Taylor & Thompson, 2023, among others). In particular, they significantly influence vertical and horizontal fluxes of heat and biogeochemical materials (Su et al., 2018; Lévy et al., 2018) and also modulate the oceanic forward and inverse energy cascades (Klein et al., 2008; Capet et

al., 2008). However, the interactions of submesoscale flows with the atmosphere remain 44 poorly understood, likely due to technical limitations such as model and satellite reso-45 lution, as well as the challenges of capturing these features with ship-based campaigns. 46 Nevertheless, growing evidence in the recent literature underscores their importance in 47 modulating the atmospheric variability. For example, Song et al. (2022) used in-situ data 48 in the Western Pacific and showed variations of latent heat flux anomalies of  $O(10) \text{ W m}^{-2}$ 49 over a submesoscale fronts of O(5) km. Shao et al. (2019) made similar observations us-50 ing in situ data in the Gulf of Mexico. In addition, this latter study documented vari-51 ations in wind speed of  $1 \text{ m s}^{-1}$  for a 1.5 °C variation in sea surface temperature (SST) 52 over 6 km. Using numerical models resolving submesoscales, Strobach et al. (2022) and 53 Wenegrat and Arthur (2018) showed that the Marine Atmospheric Boundary Layer (MABL) 54 actually responds at the same scale as oceanic features. As shown by Vivant et al. (2025), 55 the response is not limited to the MABL but extends to the whole troposphere as moist 56 convection was found to be triggered above submesoscale fronts over the passage of mid-57 latitude storms. Other numerical studies by Bai et al. (2023), Conejero et al. (2024), and 58 Renault et al. (2024) revealed the effect of submesoscale ocean currents on the wind and 59 surface heat fluxes. 60

Satellite observations of such submesoscales dynamics are until now very limited 61 and remain a challenge (Klein et al., 2019). Using high-resolution (100 m) thermal sen-62 sors, Gaube et al. (2019) were able to identify a submesoscale feature with a  $13^{\circ}$  C gra-63 dient over just 15 km, along with a 2 m/s wind anomaly associated with it. But this study 64 was limited as only data close to the coast of the North-West Atlantic were available. 65 The Surface Water and Ocean Topography (SWOT) mission launched in December 2022 66 (Morrow et al., 2019; Fu et al., 2024) now provides two-dimensional maps of sea surface 67 height (SSH) and ocean surface roughness with a spatial resolution of 2 km. First stud-68 ies have shown that the SWOT mission will help in better characterizing the submesoscale 69 currents (Zhang et al., 2024). Here, our primary goal is to highlight the potential of SWOT 70 in documenting air-sea interactions at fine scales through its associated surface wind prod-71 uct. In combination with traditional satellite datasets, such as SST and chlorophyll con-72 centrations, which have similar spatial resolution, we present evidence of the signature 73 74 of SST anomalies on the surface wind at submesoscales for a particular situation in the Gulf Stream region. 75

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## 76 **2** Data

We focus our study on a particular atmospheric situation during the 1-day repeat 77 period of the SWOT mission, which occurred between March 29 and July 11, 2023. On 78 May 12, the SWOT satellite passed over the Gulf Stream region near 36°N, 74°W (see 79 Fig. 1a and e for the studied region and for the swath covered by SWOT), correspond-80 ing to pass 9, cycle 519. We use the L2 product (PGC0, as of 01/10/2024), which pro-81 vides 10-m equivalent neutral wind (ENW) speed relative to the surface current with a 82 resolution of 2 km (Stiles et al., 2024). Note that wind directions cannot be retrieved 83 as the measurements are acquired from a single azimuth. 84

This dataset is compared with scatterometer measurements from the MetOp-B ASCAT-L2-Coastal products (Verhoef et al., 2012) which also provide 10 m ENW relative to the surface current, but with a nominal spatial resolution of 12.5 km, allowing to capture the oceanic mesoscales. Only a few snapshots were available in the time range  $\pm 12h$  from the SWOT measurements, one at 15:17 UTC on May 12 and one at 2:35 UTC on May 13.

In addition, we use the 10 m winds of the (HRES) ECMWF forecast model (cy-91 cle 47r3), which has a spatial resolution of about 9 km at mid-latitudes. It is coupled 92 to ocean model NEMO (version 3.4), which is run at a resolution of  $1/4^{\circ}$  (about 28 km 93 at mid-latitudes) and initialized with daily SST analyses from OSTIA (re-gridded at  $1/4^{\circ}$ ). 94 Hence the ocean model is only mesoscale eddy-permitting, and therefore does not fully 95 resolve the full spectrum of oceanic mesoscales (and obviously not the submesoscales). 96 We thus expect air-sea interactions not to be completely resolved at mesoscales by the 97 coupled model. Note that the effect of surface currents on the atmosphere (e.g. Renault, 98 Molemaker, McWilliams, et al., 2016) is not considered in the ECMWF forecast model. 99 Therefore, the ECMWF wind does not contain the imprint of the surface currents. 100

The SWOT WindWave dataset provides a product called *wind\_speed\_model* based on ECMWF analyses. In this study, we chose to use the forecast product instead because differences in wind speeds between the ECMWF forecast and SWOT provide information on how numerical models are able to simulate the atmospheric response to fine oceanic scales. In fact, differences will arise from both the spatial resolution of the atmospheric model or the spatial resolution of the ocean. The forecast used was run from 12:00 UTC on May 12 and we examine the output at 22:00 UTC. This ensures that the forecast had

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time to decorrelate from the analysis and from the observations (such as scatterometers)
used to generate it. It will therefore reflect in a large part the response of the atmosphere
to the ocean at the resolution of the coupled model.

In this study, we also use SST data from NOAA's Advanced Very High Resolution Radiometer (AVHRR L2p) with a spatial resolution of 1.1 km at nadir at 01:40 UTC on May 13 . We found that clouds were particularly absent at that time, allowing us to quantify the variability of the ocean on scales of a few kilometers. Finally, for visual comparison, we also examine chlorophyll concentration from the 4 km resolution L3 daily product Copernicus-GlobColor as well as the SSH anomaly provided by SWOT (L3 product, v.1.0.2, ssha\_noiseless).

#### 118 **3 Results**

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## 3.1 Spatial structures

Figure 1 highlights the study area near Cape Hatteras, where the Gulf Stream sep-129 arates from the coast. The Gulf Stream is a strong, deep, and persistent western bound-130 ary current that shapes our climate (Chassignet & Marshall, 2008; Minobe et al., 2008; 131 Marshall & Coauthors, 2009; Renault, Molemaker, Gula, et al., 2016). As shown by both 132 ECMWF and satellite observations (Fig. 1a and b, respectively), the Gulf Stream induces 133 a pronounced SST gradient that separates advected warmer waters on its equatorial side 134 from cooler waters on its poleward side. The region is also known to be characterized 135 by strong mesoscale fronts, eddies, and submesoscale filaments and fronts (Gula et al., 136 2014; Callies et al., 2015). It is also prone to exceptionally strong air-sea interactions, 137 with the largest heat transfer to the atmosphere over the global ocean (Josey et al., 1999; 138 Czaja et al., 2019) and strong mesoscale coupling coefficients (Renault et al., 2019). Our 139 analysis focuses on May, a month during which this region has previously been identi-140 fied as a hotspot for pronounced MABL responses to oceanic conditions during the warm 141 season (Sublette & Young, 1996). 142

Figure 1d shows the 10 m wind field from the ECMWF forecast at 22:00 UTC on May 12, *i.e.*, at about the same time as SWOT passes over the region (at 22:24 UTC). The surface winds blow northward with an anticyclonic curvature, with low winds (about  $4 \text{ m s}^{-1}$ ) at latitudes around 34°N and higher winds (about 9 m s<sup>-1</sup>) poleward. At this time, an elongated feature with winds lower than its surroundings can be observed, cen-

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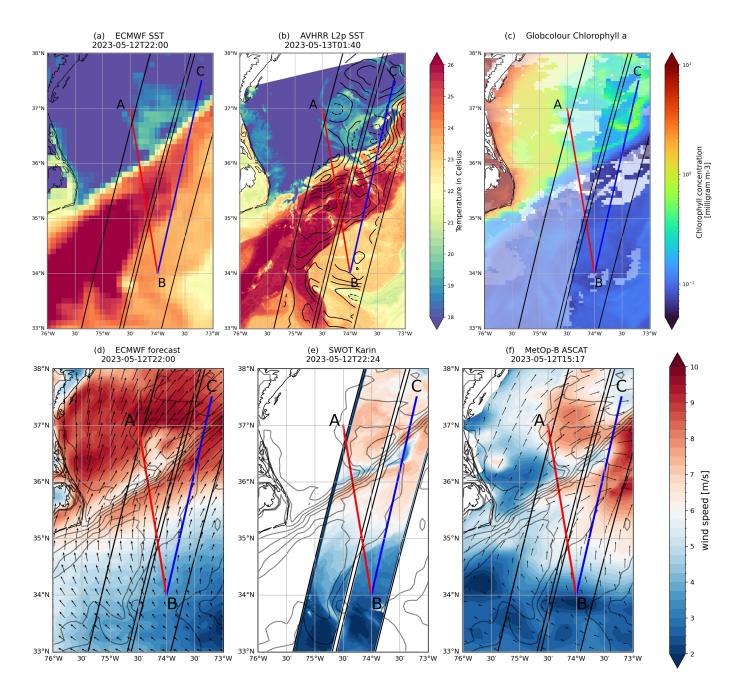


Figure 1. (a) Sea surface temperature from ECMWF forecast at 22:00 UTC on May 12 120 and (b) from AVHRR at 01:40 UTC on May 13. In (b) contours of SSH anomalies are overlaid 121 (continuous contours for positive anomalies and dashed ones for negative ones); (c) Chlorophyll 122 concentration from Copernicus-GlobColor, obtained by overlaying data from May 11, 12 and 13 123 were overlaid (see Fig. S3 of SI for the separate fields). (d) 10m wind speed of ECMWF fore-124 cast at 22:00 UTC, (e) SWOT wind speed at 22:24 UTC, (f) MetOp-B ASCAT wind speeds 125 at 15:17 UTC, all on May 12. The corresponding wind vectors have been added on panels (d) 126 and (f). Note that SWOT does not provide wind directions. ECMWF SST is overlaid in black 127 contours on panels (d-f). 128

tered around  $36^{\circ}$ N,  $74.5^{\circ}$ W, and oriented SW-NE. In the ECMWF product, its typical width is about 50km and it extends over 200km, with a wind anomaly of about 2 m s<sup>-1</sup>. This situation is very close to that studied by Sublette and Young (1996), who described a case of strong imprint of the Gulf Stream on MABL in southwesterly conditions over the same spatial region. In particular, we note that the wind approximately blows along the SST front, which is known to favor a stronger response at both meso and submesoscale (Chelton et al., 2001; ONeill et al., 2012; Renault et al., 2019; Conejero et al., 2024).

As shown in Fig. 1e, the SWOT wind field is generally weaker than ECMWF fore-155 cast, which could be due to (ECMWF) model biases, but also to (SWOT) observational 156 errors or calibration. However, despite these differences, the SWOT winds are in good 157 agreement with ECMWF in terms of spatial structures. Thanks to its 2 km resolution, 158 SWOT captures much finer details, revealing smaller-scale features that are not present 159 in ECMWF. For example, the elongated low-wind structure observed in the ECMWF 160 forecast is resolved with greater intricacy, showing a roll-up of the low-wind region with 161 a minimum of about 4 m s<sup>-1</sup> near 36.5°N, 73.5°W (see Fig. S1 of Supporting Informa-162 tion, SI, which is a close-up of this region). SWOT, in addition, identifies a unique fea-163 ture not present in the ECMWF forecast near 34°N, 74.75°W - an elongated region with 164 sharp wind speed gradients separating higher wind speeds (about  $4.5 \text{ m s}^{-1}$ ) and weaker 165 winds (about  $2.5 \text{ m s}^{-1}$ ). 166

Finally, Figure 1f shows ASCAT winds at 15:17 UTC on May 12, with a compar-167 ison to winds at 02:35 UTC on May 13 in Fig. S2 of the SI. Across the region, the wind 168 direction does not change much between these two dates while there is notable variations 169 in the large-scale component of the wind speed. The low-wind elongated structure seen 170 in both ECMWF and SWOT is captured by ASCAT, although the lower resolution of 171 the scatterometer ( $\sim 25$  km) cannot resolve the finer features observed by SWOT. The 172 northeastward extension of the filament ends up around 36.5°N, 73.5°W, consistently 173 with SWOT. The conspicuous elongated region near 34°N, 74.75°W can also be detected 174 but with a lesser contrast in wind magnitude. This analysis highlights the ability of SWOT 175 to capture finer wind features compared to coarser-resolution scatterometers and state-176 of-the-art forecast models. 177

For further investigation on the origin of these wind anomalies, the spatial distribution of SST in the same region is examined. Figure 1a shows the ECMWF SST field

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coinciding with the timing of the SWOT observations. A prominent SST front associ-180 ated with the tongue of warm waters constituting the Gulf Stream is evident and extends 181 from southwest to northeast, sharply delineating colder waters ( $\sim 17^{\circ}$ C) from warmer 182 waters (~ 26°C). North of the front, a positive anomaly with uniform SST about ~  $19.5^{\circ}$ C 183 and a diameter of about 100 km is identified at about 36.5°N, 74.25°W. This structure 184 detaches from the front embedding a cold patch of a few tens of kilometers. However, 185 the definition of the warm anomaly is limited by the coarse spatial resolution of the ECMWF 186 model. South of the front, the Gulf Stream is characterized by a distinct tongue of warm 187 waters and another front emerges that is predominantly southwest to northeast oriented, 188 but shows a notable southward extrusion near 34°N, 74.75°W. 189

Figure 1b shows AVHRR SST obtained 3 hours after the SWOT measurements. 190 We benefit from a cloud-free situation at this time, and the two data are relatively close 191 in time to each other, so that AVHRR SST can be directly compared to the SWOT wind 192 speed. In general, much more details can be seen with the AVHRR kilometer resolution 193 compared to the ECMWF product. The SST anomaly centered at 36.5°N, 74.25°W with 194 SST about 19.5°C, which had almost circular shape in the ECMWF product is still present, 195 but with much finer details. Contrary to what could be inferred from ECMWF, the high-196 resolution SST (Fig. 1b) indicates that the 19.5°C SST feature is not attached on its south-197 ern side to the SST front of the Gulf Stream. In between, a cold filament 20 km wide 198 extends over 100 km, with very high chlorophyll concentration (Fig. 1c). As the filament 199 extends northeastward, it connects to a pouch of cold waters centered at about  $36.5^{\circ}N$ , 200  $73.5^{\circ}$ W. This feature, about 40 km wide and with a high chlorophyll concentration is 201 trapped between regions of warmer waters. 202

The SSH provided by SWOT gives information on the ocean currents (see contours 203 in Fig. 1b) The warm 19.5°C feature North of the Gulf Stream front is in fact associ-204 ated with two cyclonic eddies (negative SST anomalies) centered at 37°N, 74.3°W, and 205 36.3°N, 73.5°W, This last one drives the advection of cold waters from the southwest, 206 resulting in the formation of a narrow cold filament to its south and the pouch of cold 207 water. It also facilitates the advection of warm water from the northeast. In addition, 208 most of the SSH contours are closely aligned with the SST fronts, providing confidence 209 that the AVHRR SST data at 01:40 UTC on May 13 are an accurate representation of 210 the dynamics captured by SWOT at 22:24 UTC on May 12. 211

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Focusing around the cold filament and the cold pouch to its NE, the correspondence 212 between AVHRR SST (Fig. 1b) and SWOT wind speeds (Fig. 1e) is striking (see Fig. S1 213 in SI for a closer comparison). The elongated cold filament aligns with the minimum wind 214 speed region, and the wrapping of small cold and warm anomalies to its northeast are 215 similarly associated with regions of weaker and stronger winds, respectively. This high-216 lights SWOT's ability to identify air-sea interactions with unprecedented resolution and 217 to reveal that the atmosphere reacts to the ocean at scales of less than tens of kilome-218 ters. The discussion section will provide a possible interpretation of these results. 219

#### 3.2 Transects

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The tight visual correspondence between SST and wind speed anomalies is further analyzed using two transects. The first transect (B-A in Fig. 1a) crosses the cold filament observed in both the ECMWF and AVHRR SST datasets. The second transect (B-C) traverses the cold pouch at 36.5°N, 73.5°W, which spans less than 20 kilometers and is visible only in the AVHRR SST data. The comparison of these two transects allows to highlight the ability of a forecast model like IFS to capture the relationship between surface wind patterns and SST anomalies at fine scales.

Over the entire B-A transect, the ECMWF and AVHRR SST profiles are in agree-232 ment, with a relatively smoother profile for ECMWF due to its coarser spatial resolu-233 tion (Fig. 2a). The transect crosses the Gulf Stream at about 36°N (see Fig. 1a), and 234 both ECMWF and AVHRR SSTs drop from 26°C to 17°C. The finer resolution of AVHRR 235 shows that the transect actually crosses two fronts, with a first SST drop of 3°C at about 236  $35.65^{\circ}$ N over 5 km, and then a second one of  $6^{\circ}$ C at about  $36^{\circ}$ N over 10 km. The min-237 imum of SST near 36.25°N corresponds to the cold filament seen in Fig. 1b while the SST 238 front at 34.75°N corresponds to the SW-NE extending tongue of warm water. In the ECMWF 239 forecast, the wind generally increases over the transect from  $4 \text{ m s}^{-1}$  to  $9 \text{ m s}^{-1}$ , with 240 a drop of about 2 m s<sup>-1</sup> at 36°N, *i.e.*, at the same location as the SST front. The AS-241 CAT winds at 15:17 UTC on May 12 and 02:35 UTC on May 13 both show a drop of 242 about 2 m s<sup>-1</sup> at 36°N, consistent with ECMWF forecast. Concerning SWOT, the wind 243 speed measured by the instrument are in general agreement with the scatterometer winds 244 north of 35°N. The differences occur close to the SST front, with a decrease in wind speed 245 of about 2 m s<sup>-1</sup> at 36°N occurring over 10 km for SWOT, whereas it occurs over 30 km 246 for ASCAT. Also the region of wind speed minimum is thinner for SWOT (10 km). The 247

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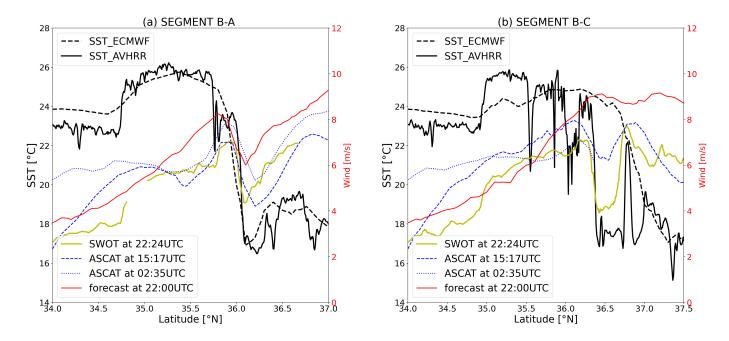


Figure 2. Transects B-A (panel a) and B-C (panel b) of wind speed and SST from various products. See Fig. 1b for definition of the transects. In panel (a), the curve corresponding to wind speed from SWOT is not continuous as the transect crosses the two swaths. In panel (b), there are no data for ASCAT north of 36.5°N at 2:35UTC (see swath on Fig. 1e).

relatively good agreement between ASCAT and SWOT at the lower range of mesoscales 248 confirms the validity of the SWOT wind field product for understanding wind variations 249 at such small scales. Finally, note that the southern SST front at 34.75°N associated with 250 the warm water tongue is also concomitant with a wind increase at the same location. 251 From these different results, we can evaluate the coupling coefficient between wind and 252 SST by comparing the variation of wind speed to SST. For ECMWF we get a typical 253 value of  $0.25 \text{ m s}^{-1}/\text{C}$  (drop of 2 m s<sup>-1</sup> for 8°C). For SWOT and ASCAT, first the SST 254 decreases by  $3^{\circ}C$  at  $35.75^{\circ}N$  with a wind speed increase of about 1 m s<sup>-1</sup>, giving a typ-255 ical value of  $0.33 \text{ s}^{-1} \text{ C}^{-1}$ . Then the second drop of SST by 6°C occurs with a wind speed 256 drop by  $2 \text{ m s}^{-1}$ , giving the same value. Such values for ASCAT and SWOT are in line 257 with those found in the literature (e.g. ONeill et al., 2012; Gemmrich & Monahan, 2018). 258

We now examine the second transect, B-C (Fig. 2b), which intersects the submesoscale structures visible in the AVHRR SST image (Fig. 1b). There are clear differences between the SST profiles of the ECMWF and AVHRR products. The ECMWF SST profile shows a smooth, monotonic decrease between 36°N and 37.5°N. In contrast, the AVHRR

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data show a sharp SST drop of 7°C over 10 km at about  $36.25^{\circ}N$  (corresponding to the 263 submesoscale pouch of cold waters), followed by an increase of  $5^{\circ}$ C over 5 km, and a fur-264 ther decrease further north. This corresponds to the cold filament that was observed on 265 Fig. 1b. The ECMWF wind profile increases smoothly from south to north, with min-266 imal variation on 50 km scales. On the contrary, ASCAT, measured at two different times, 267 captures mesoscale variations, including a drop in wind speed of about 2 m s<sup>-1</sup> near 36.4°N 268 associated with the SST front. Compared to ASCAT and ECMWF, SWOT wind speed 269 has sharper features with more important variations. For example, a 3 m s<sup>-1</sup> drop over 270 the SST front at  $36.25^{\circ}$ N can be seen in the SWOT data compared to the 2 m s<sup>-1</sup> drop 271 of ASCAT. There is a clear relation between SST small scale features and fronts and the 272 SWOT wind speed variations. For instance, the SST front at 34.65°N is also evident with 273 a wind speed front at the same location in the SWOT data. The submesoscale structure 274 of 10 km width, associated with a warm SST anomaly at 36.75°N corresponds to a rel-275 ative maximum in wind speed at the same location. Again, we can compute the coupling 276 coefficient between wind speed and SST variations. The drop over the SST front cor-277 responds to a coupling coefficient of about  $0.28 \text{ m s}^{-1} \text{ C}^{-1}$  using ASCAT, while about 278  $0.43 \text{ m s}^{-1} \text{ C}^{-1}$  using SWOT. Such large values using high-resolution data suggest that 279 coupling coefficients may be underestimated when computed from standard scatterom-280 eter products or numerical models which resolve only variations at mesoscales. 281

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### 4 Summary and discussion

Air-sea interactions have been documented through the analysis of the measurement of winds by scatterometers since a long time. The nominal spatial resolution of these satellite instruments is only 25 km, which does not allow to access to ocean submesoscale. Our study, based on different satellite observations in the Gulf Stream area, showed how surface winds, as measured the SWOT satellite, are correlated with SST anomalies down to a few kilometers. This opens new opportunities for the study of air-sea interactions at submesoscales.

One caveat of this study is related to the process of retrieving the wind field from the instrument backscatter. The Geophysical Model Function (GMF) used to compute surface winds does not include the SST effect (Stiles et al., 2024) and may distort our vision of a dynamical effect of SST on the MABL. Tran et al. (2023) studied the bias in wind speed as a function of SST using data from the AltiKa altimeter which is also <sup>295</sup> a Ka-band instrument. From their Figure 1, we can estimate a bias of 0.05 m s<sup>-1</sup>/C due <sup>296</sup> to the dependence of the GMF to SST. This value is much smaller than the wind/SST <sup>297</sup> coefficient about 0.3 m s<sup>-1</sup>/C found in our study. This casts confidence that the observed <sup>298</sup> correspondence between SST and wind speed anomalies at fine scales can be attributed <sup>299</sup> to the effect of air-sea coupling. Note also that wind waves are not fully incorporated <sup>300</sup> in the GMF as only ECMWF significant wave heights are used. We believe that taking <sup>301</sup> the variability of waves would in fact accentuate the effect.

An additional source of uncertainty comes from the fact that, similar to scatterom-302 eters, the 10 m wind retrieved by SWOT represents the equivalent neutral wind relative 303 to the oceanic currents  $(U_{ENWR})$  rather than the actual wind (Plagge et al., 2012; Re-304 nault et al., 2019). Consequently, the fine spatial variation of the wind field observed by 305 SWOT may also reflect the influence of surface currents. The absolute equivalent neu-306 tral wind,  $U_{ENW}$ , can be approximated as  $U_{ENW} = U_{ENWR} + U_o$ . The geostrophic 307 surface currents, which can be inferred from the SWOT SSH data, reaches up to  $1 \text{ m s}^{-1}$ 308 (Fig. S2). This could potentially modulate the wind response by up to 30%, either pos-309 itively or negatively. However, this is an upper bound estimate, as the wind also responds 310 to the surface current, and only a portion of the surface current should be considered 311 in this context (see Renault et al., 2024). 312

A plausible candidate to explain the relation between SST and wind at the scales 313 we consider is a sea-breeze circulation related to the differential heating of the ocean above 314 the Gulf Stream (Hsu, 1984; Wai & Stage, 1989; Sublette & Young, 1996). Figure 3a presents 315 the ECMWF surface heat fluxes at 22:00 UTC on May 12, which reveal to be positively 316 correlated with SST at mesoscale. Strong gradients of heat fluxes are seen along the Gulf 317 Stream front, separating the cold flank of the front, where the fluxes are only of the or-318 der of a few tens of W m<sup>-2</sup>, from the warm flank, where fluxes are of the order of 200 W m<sup>-2</sup>. 319 These fluxes may allow a solenoidal circulation above the Gulf Stream front and other 320 SST gradients, with vertical motions above large heating on the atmosphere. However 321 surface divergence from the ECMWF model (Fig. 3b) shows instead ascent over the SST 322 front of the Gulf Stream. These convergence motions just above SST gradients indicate 323 that there is no solenoidal circulation which would instead lead to ascent and descent 324 above each side of the front. 325

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A second candidate would be related to the Pressure Adjustment Mechanism (PAM) (Lindzen & Nigam, 1987; Lambaerts et al., 2013) which is based on a thermal adjustment to the SST anomaly: a positive SST anomaly leads to a lower hydrostatic pressure, which causes the surface wind to converge. Again, one would expect ascent on the warm flank of the Gulf Stream and descent on the cold flank (Minobe et al., 2008)

The strong heating of the atmosphere by the ocean a differential stability of the 331 MABL: more stable conditions over warm anomalies and unstable ones over cold anoma-332 lies. The vertical momentum mixing (VMM) (Hayes et al., 1989; Wallace et al., 1989) 333 consists of an increase in turbulence in the boundary layer which causes winds to accel-334 erate above positive SST anomalies. This is indeed seen for the different transects in Fig. 2. 335 Another consequence of VMM is that strong convergence is to be found when winds blow 336 in the opposite direction of the SST gradient (O'Neill et al., 2003; Chelton et al., 2004; 337 Desbiolles et al., 2016). Indeed, along the Gulf Stream front, wind is partially blowing 338 from the South, *i.e.*, from warm waters (see Fig. 1d). This is consistent with Fig. 3b, which 339 shows that there is indeed a strong convergence of surface winds above the SST front. 340 We therefore conclude that the VMM may explain a large part of the correlation between 341 wind and SST anomalies, even at submesoscales for the particular situation we consider. 342 An interesting point is that this correlation lasts for several hours as it can be spotted 343 for the preceding and following passes of SWOT (10:00 UTC on May 12 and 10:00 UTC 344 on May 13, see Fig. S4 in SI). Presumably, the strong difference in surface heat fluxes 345 (in terms of tens of  $W/m^2$ ) is responsible of the persistence of this phenomenon. 346

Finally, contrary to scatterometers, SWOT does not provide the wind direction. As shown by O'Neill et al. (2010), spatial variation of wind direction is also affected by mesoscale SST gradients. As a result, SWOT data alone cannot be used to compute wind divergence and wind curl and look at their correlation with down-wind and cross-wind SST gradients. New missions such as ODYSEA (Rodríguez et al., 2018; Torres et al., 2023; Larraaga et al., 2025) and analysis of the data of DopplerScat from the S-MODE campaign (Wineteer et al., 2024) could help to investigate these questions for submesoscales.

## <sup>357</sup> Open Research

The SWOT products used in this manuscript are freely distributed by mirror centers from NASA and CNES. The SWOT Level 2 KaRin Low Rate Wind Wave Data Prod-

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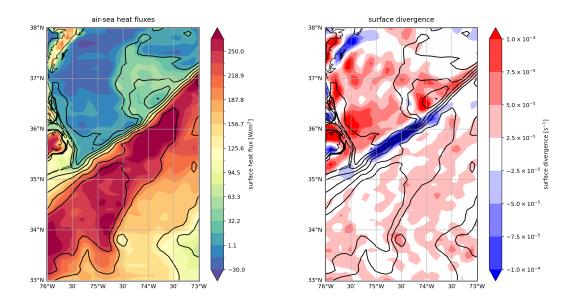


Figure 3. In color shadings, (a) ECMWF surface heat fluxes (sensible + latent), (b) surface divergence at 22:00 UTC on May 12. In both panels, ECMWF SST is represented by black contours.

uct is available in SWOT Project (2023). The product quality is not final and will be
affected by some evolutions as the SWOT project team makes progress on science data
processing algorithms and instrument calibrations. The SWOT Level 3 KaRin Low Rate
Sea Surface Height Data Unsmoothed Data Product is available in AVISO/DUACS (2024).
It is made freely available by AVISO and DUACS teams as part of the DESMOS Science Team project.

MetOp-B ASCAT winds products are provided by EUMETSAT/OSI SAF (2018). The SST data is provided by Group for High Resolution Sea Surface Temperature (GHRSST) and the National Oceanic and Atmospheric Administration (NOAA/STAR, 2023). The Copernicus-GlobColour product was obtained from the Copernicus Marine Service repository (2024).

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- <sup>376</sup> Author contributions: M.K.: Formal Analysis, Investigation, Methodology, Soft-
- <sup>377</sup> ware, Visualization, Writing, Original Draft Preparation, Review & Editing. G. L.: Con-
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- <sup>379</sup> view & Editing, Funding Acquisition. L. R.: Investigation, Writing Review & Editing,
- Funding Acquisition. X.P.: Data curation and Resources. C.D.: Formal Analysis.

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# Supporting Information for "Submesoscale air-sea interactions as revealed by SWOT"

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1. Figures S1 to S4.

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April 4, 2025, 11:39am

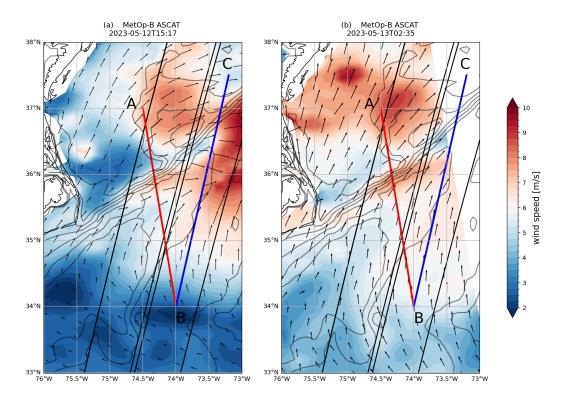


Figure S1. MetOp-B ASCAT wind speeds at (a) 15:17 on May 12 and (b) 02:35 on May 13. The corresponding wind vectors have been added on each panel. ECMWF SST is overlaid in black contours.

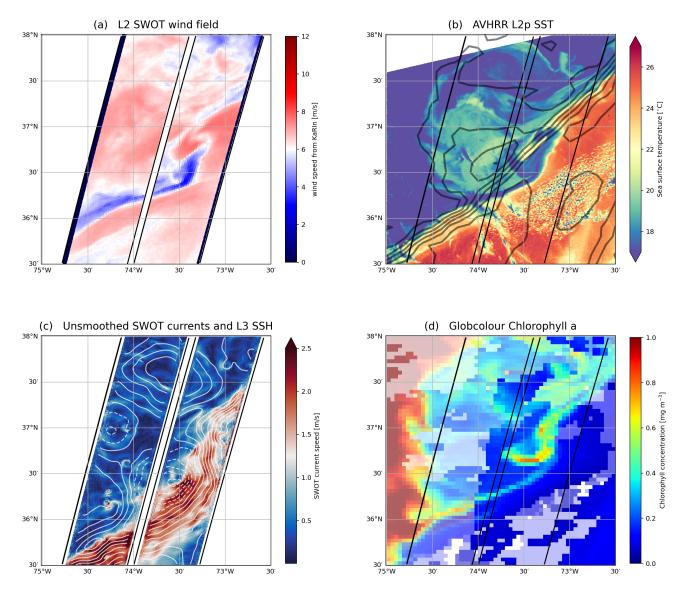


Figure S2. A close-up of different quantities near the cold pouch at 36.5°N, 73.5°W. (a) SWOT wind speed; (b) in color shadings, AVHRR SST and in black contours ECMWF SST. (c) 2 km L3 SSH and "Unsmoothed" L3 (250 m) ocean currents from SWOT. (d) Chlorophyll concentration using different snapshots (see Fig. S3). The "Unsmoothed" SSH is very similar to the 2km product, but the ocean currents come from the Unsmoothed L3 product as more submesoscale structures can be seen. The black lines allow to see the swaths of the satellite.

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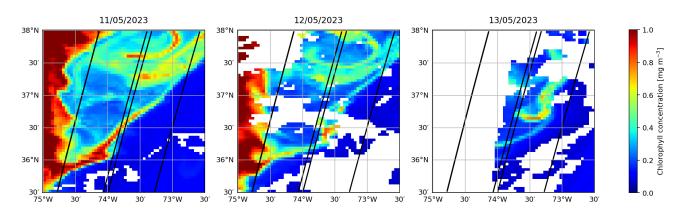
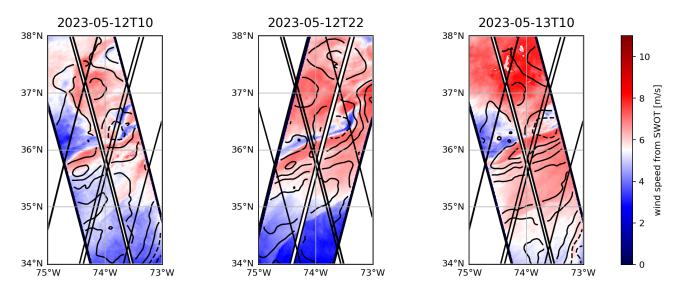


Figure S3. Chlorophyll concentration at different times, used to produce Fig. 1c of the paper and Fig. S1b.



**Figure S4.** Evolution of wind speed as seen by SWOT. SSH anomalies are overlaid. The weaker wind speed associated to the cold filament persists over 1 day.

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