

# The Ice-Ocean governor: ice-ocean stress feedback limits Beaufort Gyre spin up

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## Key Points:

- Spin up of the Beaufort Gyre is regulated by a negative feedback between ice-ocean stress and surface currents: an ice-ocean stress governor
- In the present Arctic system, the governor is likely a key process controlling the equilibration of the Gyre
- Continued sea-ice loss will likely lead to reduced effectiveness of the governor and change the fundamental internal dynamics of the Gyre

## Abstract

The Beaufort Gyre is a key circulation system of the Arctic Ocean and its main reservoir of freshwater. Freshwater storage and release affects Arctic sea-ice cover, as well as North Atlantic and global climate. We describe a mechanism that is fundamental to the dynamics of the Gyre, namely the “ice-ocean stress governor”. Wind blows over the ice and the ice drags the ocean. But as the gyre spins up, currents catch the ice up and turn off the surface stress. This governor sets the basic properties of the gyre, such as its depth, freshwater content, and strength. Analytical and numerical modeling is employed to contrast the equilibration processes in an ice-covered versus ice-free gyre. We argue that as the Arctic warms, reduced sea-ice extent and more mobile ice will result in a deeper and faster Beaufort Gyre, accumulating more freshwater that will be released by Ekman upwelling or baroclinic instability.

## 1 Introduction

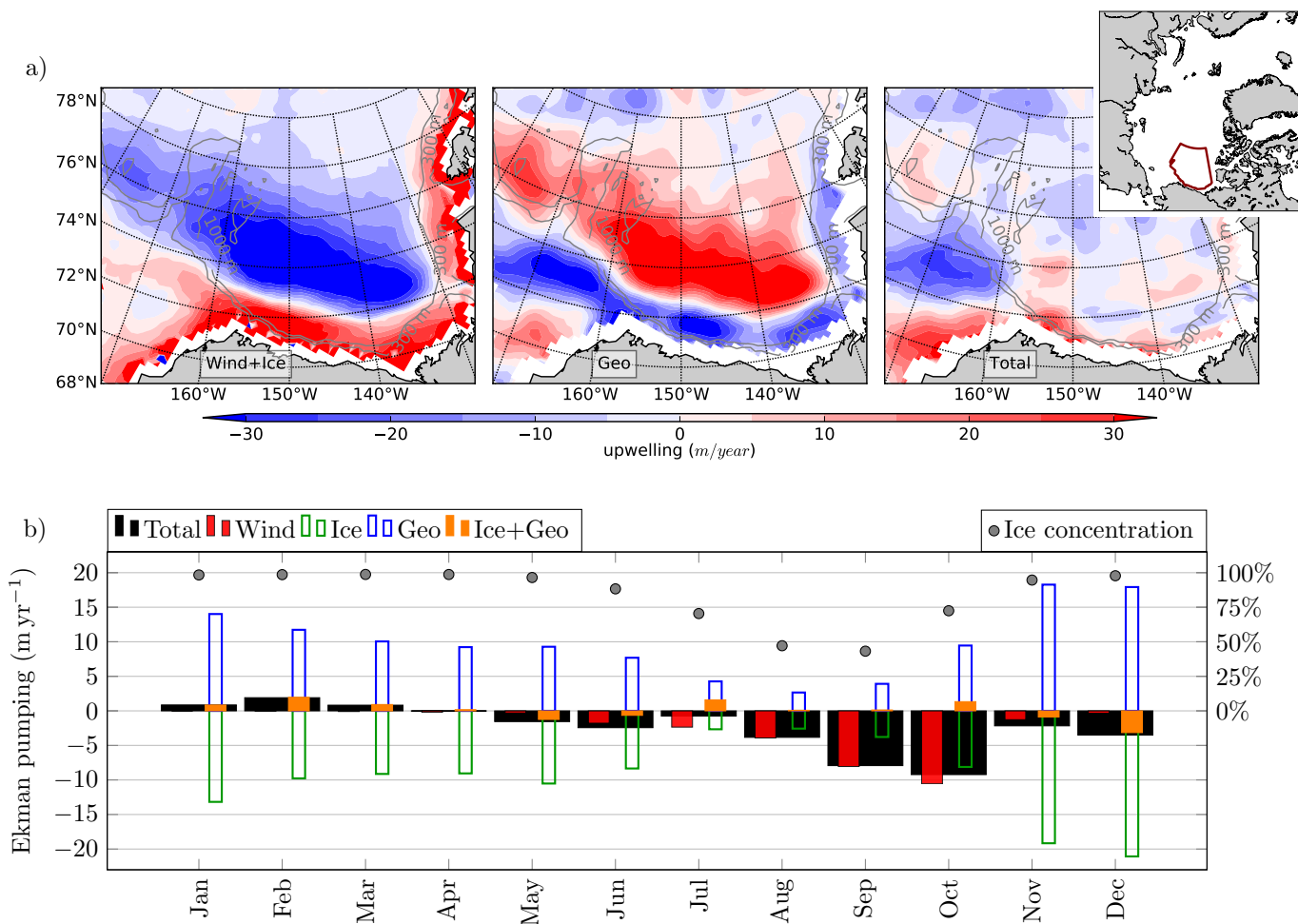
Anticyclonic winds centered over the Arctic Ocean’s Beaufort Gyre (BG) force a lateral Ekman transport bringing surface freshwater towards the center of the gyre and steepening isopycnals. This convergence increases the freshwater content of the BG and spins up its geostrophic current [Proshutinsky and Johnson, 1997; Proshutinsky et al., 2002, 2009; McPhee et al., 2009; Proshutinsky et al., 2015; Timmermans et al., 2011; Zhang et al., 2016]. Freshwater accumulation, storage and release from the BG, controlled by these wind-driven dynamics, have far-reaching influence on Arctic and global climate [Proshutinsky et al., 2015]. However, wind variability alone cannot explain the variability in freshwater content [Giles et al., 2012]. Gyre spin up and freshwater increase, proportional to the curl of the ocean surface stress, are complicated by the presence of sea ice cover, which acts to decouple wind and surface ocean stresses through internal lateral stresses, and interacts with surface currents. Here we present a theoretical framework which illustrates how the interaction between under-ice geostrophic ocean currents and sea-ice cover [described by the observational studies of Meneghello et al., 2017, 2018; Kwok and Morison, 2017; Zhong et al., 2018; Dewey et al., 2018] plays a key role in equilibrating the freshwater content of the BG. Inspired by self-limiting speed regulators (or governors) in mechanical systems [Maxwell, 1867; Bennet, 1993], we call this mechanism the “ice-ocean stress governor”. More specifically, the term “governor” refers to a mechanism for regulating the speed of a system by automatically restricting the flow of water, air, fuel, etc., when the speed increases and increasing the flow when it decreases [Murray et al., 2018].

The total stress  $\tau$  at the ocean surface is a combination of ice-ocean stress  $\tau_i$  and air-ocean stress  $\tau_a$ , each of which may be estimated by a quadratic drag law, weighted by the sea-ice concentration  $\alpha$ :

$$\tau = \alpha \underbrace{\rho C_{Di} |\mathbf{u}_{rel}| (\mathbf{u}_{rel})}_{\tau_i} + (1 - \alpha) \underbrace{\rho_a C_{Da} |\mathbf{u}_a| (\mathbf{u}_a)}_{\tau_a}. \quad (1)$$

$C_{Di} = 0.0055$  and  $C_{Da} = 0.00125$  are dimensionless drag coefficients for the ice-ocean and air-ocean stress respectively,  $\rho = 1028 \text{ kg m}^{-3}$  is water density, and  $\rho_a = 1.25 \text{ kg m}^{-3}$  is air density. In the computation of  $\tau_a$ , the surface ocean velocity, of a few  $\text{cm s}^{-1}$ , is considered negligible with respect to a wind velocity  $\mathbf{u}_a$  of a few  $\text{m s}^{-1}$ . However, surface ocean velocity cannot be neglected in the estimation of  $\tau_i$ : the ice-ocean relative velocity  $\mathbf{u}_{rel}$  is expressed as the difference between the ice velocity  $\mathbf{u}_i$  and the geostrophic surface ocean velocity  $\mathbf{u}_g$ . That is  $\mathbf{u}_{rel} = (\mathbf{u}_i - \mathbf{u}_g)e^{i\beta}$ , where  $\beta$  is a turning angle taking into account the Ekman layer.

Figure 1, modified from Meneghello et al. [2018], shows how the intensity of the ocean surface currents plays a central role in modulating the Ekman pumping in an ice covered gyre [Meneghello et al., 2017, 2018; Zhong et al., 2018; Dewey et al., 2018]. Estimates of wind- and ice-induced downwelling can exceed  $30 \text{ m yr}^{-1}$  locally if the geostrophic current is neglected (Figure 1a, blue region in the left panel, see also Yang [2006, 2009]). However, this is largely compensated by the “upwelling” effect of the surface current flowing below the ice (red region in the central panel), acting as a negative feedback and reducing the downwelling. That is, the governor drives the system towards  $\mathbf{u}_{rel} = 0$ . Consequently the net Ekman downwelling is strongly reduced (right panel). A monthly



**Figure 1.** Ekman pumping climatology. (a) Mean Ekman pumping over 2003-2014; negative (blue) indicates downwelling, positive (red) upwelling. Left: downwelling estimates locally exceed  $30 \text{ m yr}^{-1}$  if the geostrophic current is neglected; center: inclusion of the geostrophic current results in an upwelling effect, largely compensating the ice-driven downwelling; right: the net Ekman pumping, the sum of the previous two panels, yields moderate downwelling together with patches of upwelling. The BG Region (BGR) is marked by a red line in the inset. (b) Monthly Ekman pumping climatology integrated over the BGR and its partitioned contributions, where negative indicates downwelling. Black bars show total Ekman pumping, equivalent to the right panel in a). Red and orange bars show pumping induced by winds over ice-free regions, and by ice in ice-covered regions respectively. Within ice-covered regions, downward-pointing empty green bars show downwelling induced by the ice if the geostrophic current is neglected, while the upward-directed blue bars show upwelling induced by the geostrophic currents flowing under the sea ice. Blue and green bars largely balance each other, and exactly balance if  $u_{rel}=0$ . Grey dots represent ice concentration. All data and methods are described in *Meneghello et al. [2018]*.

climatology of the 2003-2014 Ekman pumping and its components averaged over the Beaufort Gyre Region (Figure 1b) shows how the total Ekman pumping is reduced by the geostrophic current, and even reversed during the months of January, February and March [Meneghello *et al.*, 2018].

Through analytical calculations and an idealized numerical model, we demonstrate here how the governor acts as a mechanism to equilibrate the freshwater content of the gyre. For example, should the anticyclonic ice-ocean stress curl — and freshwater accumulation rate — intensify, the geostrophic flow of the gyre will strengthen, reducing the surface stress until the governor turns off the ice-ocean stress. This is a distinct alternative to the eddy-equilibration mechanism first proposed for the southern ocean [Karsten *et al.*, 2002; Marshall and Radko, 2003], and more recently extended to the Beaufort Gyre [Davis *et al.*, 2014; Lique *et al.*, 2015; Manucharyan *et al.*, 2016; Manucharyan and Spall, 2016; Yang *et al.*, 2016; Meneghello *et al.*, 2017].

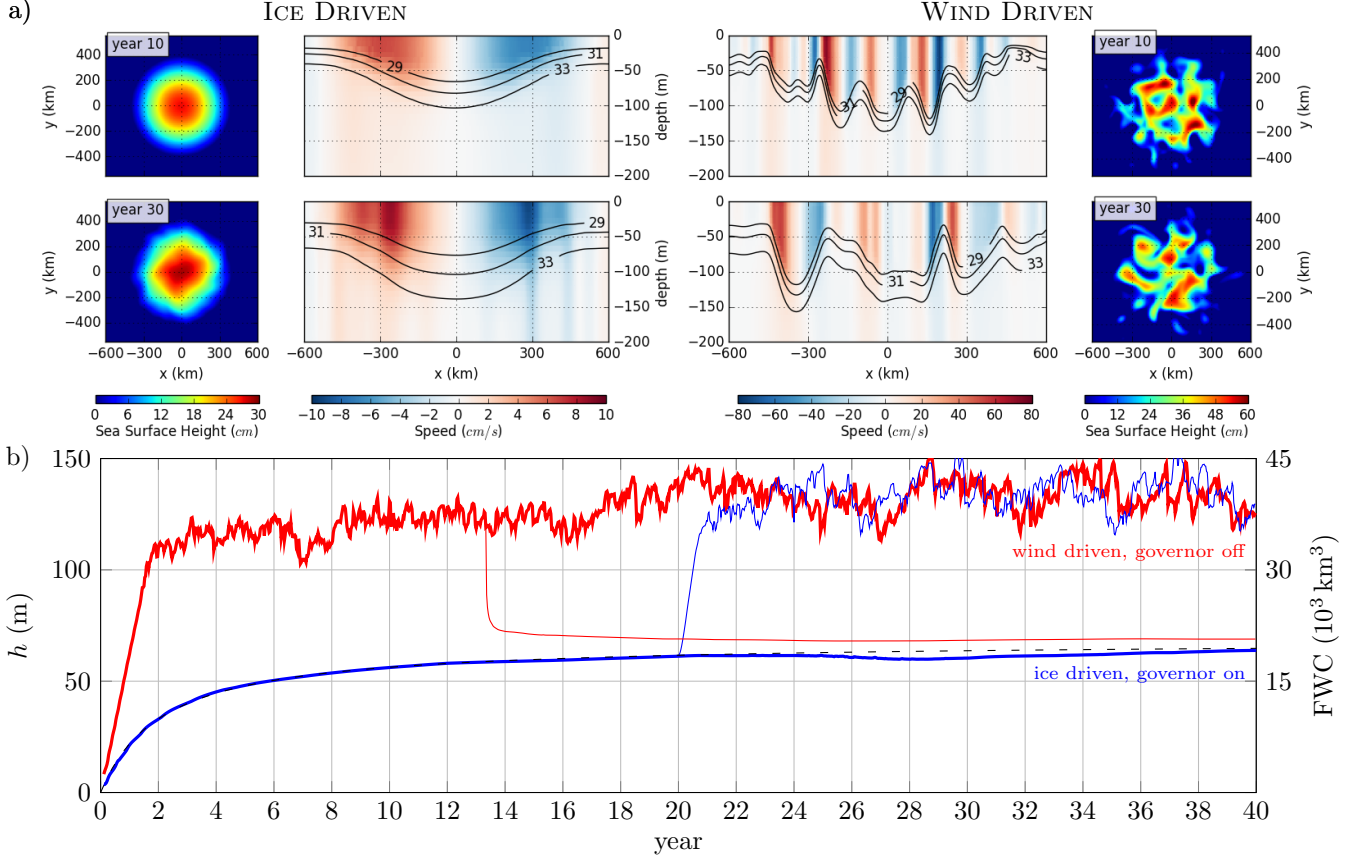
To explore the governor mechanism and test our theoretical model, we analyze the response of an idealized gyre under two different limit-case scenarios: i) an ice-driven gyre ( $\alpha=1$  in equation (1), in which forcing depends purely on gradients of  $\tau = \tau_i$ ) and ii) an ice free, wind-driven gyre ( $\alpha=0$ , in which forcing depends purely on gradients of  $\tau = \tau_a$ ). We conclude with a discussion of the implications of the governor for the Arctic Ocean's circulation and its fresh water content.

## 2 The ice-ocean stress governor

We run numerical experiments employing a high resolution idealized model of the BG based on the MIT General Circulation Model [Marshall *et al.*, 1997a,b] and designed to capture both mesoscale eddy processes and the ice-ocean stress governor mechanism (for numerical details see supporting information). The results are then compared with a simple analytical model which captures the essential mechanism of the ice-ocean stress governor. Our focus is on the equilibrium response of the gyre and how it is approached in time. All simulations begin with a uniformly-stratified, flat-bottomed ocean at rest. The ocean is spun up via a steady axisymmetric, anticyclonic, wind/ice field with zero speed at the center of the domain and reaching a maximum at a radius of 300 km (see supporting information), broadly consistent with observations [Meneghello *et al.*, 2018]. Surface freshwater is pumped down through the action of these wind/ice driven surface stresses and a parameterized bottom Ekman layer is used to dissipate momentum. Parameters are chosen to efficiently damp bottom currents on a timescale of a few months. For the ice-driven gyre, imposing the ice velocity (rather than, for example, estimating it based on wind speed) represents the limiting case in which lateral internal ice stresses effectively decouple ice and wind fields. Wind and ice velocity magnitudes are chosen to induce the same surface stress  $\tau_0$  and Ekman pumping  $w_{Ek}$  when the ocean is at rest, and are broadly consistent with observations. The stress, and hence the freshwater accumulation rate, remains constant in the ice-free case  $\alpha=0$ , but evolves and, in fact, diminishes in time in the ice-driven case  $\alpha=1$  as the surface currents spin up to match the ice speed. Five experiments are run for each scenario, varying ice and wind velocities; we diagnose the gyre response by computing the maximum Sea Surface Height (SSH) anomaly  $\eta$  and maximum depth anomaly of the  $S = 31$  isohaline  $h$ , and discuss the associated change in freshwater content (see supporting information) stored in the gyre (Figure 2).

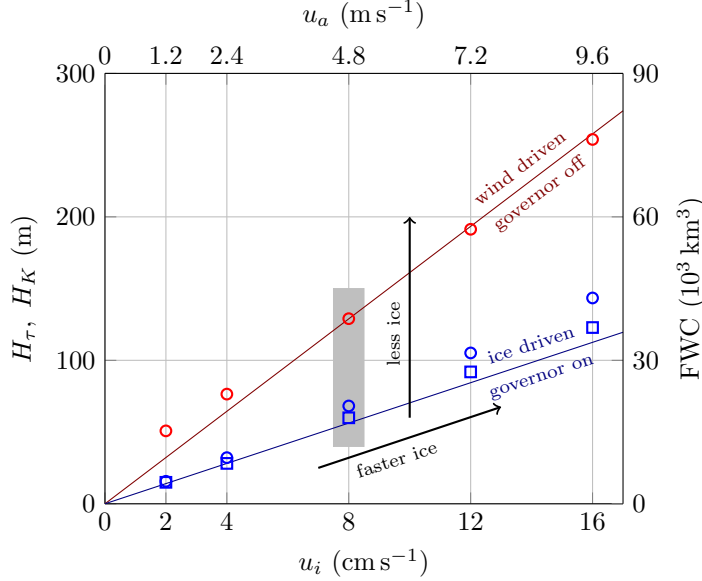
### 2.1 Ice-driven gyre ( $\alpha = 1$ ): stress-equilibrated

We begin by describing the evolution of a typical ice-covered simulation in which the governor operates (Figure 2a, left panel and Figure 2b, thick blue line). Ice-covered experiments impose anticyclonic ice drift with a range of maximum speeds broadly in agreement with observations [Meneghello *et al.*, 2018]; our example simulation has an ice-speed maximum of  $8 \text{ cm s}^{-1}$ , corresponding to a surface stress  $\tau_0 = 0.04 \text{ N m}^{-2}$ . Initially, the ocean is at rest and the applied surface stress gives rise to an Ekman pumping of order  $w_{Ek} \approx \tau_0/(\rho f R) \approx 30 \text{ m yr}^{-1}$ , where  $R \approx 300 \text{ km}$  is the radius of the gyre and  $f = 1.45 \times 10^{-4} \text{ s}^{-1}$ . As time progresses, surface freshwater is accumulated towards the center of the gyre, giving rise to a central SSH maximum and steepening isohaline surfaces (Figure 2a, left panel), and a geostrophic current is spun up; by year 10, the ocean surface speed is almost equal to the ice-drift speed (Figure 2a, top row). Around year 20,  $h$  stabilizes at a depth of



**Figure 2.** (a) Instantaneous sea surface height (exterior panels), vertical section of salinity (central panels, black contours) and speed (central panels, color) at years 10 (top row) and 30 (bottom row) for the ice-driven ( $\alpha=1$  and  $u_i = 8 \text{ cm s}^{-1}$ , left) and the wind-driven ( $\alpha=0$  and  $u_a = 4.8 \text{ m s}^{-1}$ , right) scenarios. Note the different ranges (color scales) between the two cases. Only the top 200 m of the 800 m domain depth are shown in the central panels. (b) Depth anomaly  $h$  of the  $S = 31$  isohaline, and equivalent freshwater content anomaly (FWC, right axis), for the ice driven  $\alpha = 1$  (blue) and wind driven  $\alpha = 0$  (red) scenarios. For  $\alpha=1$ , Ekman pumping spins up the gyre until the surface ocean speed approaches the ice-drift speed such that  $\mathbf{u}_{rel}$ , and the ice-ocean stress, approach zero. The dashed black line is a fit of equation (6), and is indistinguishable from the numerical solution before year 20. Evidence of very weak baroclinic instability is visible in the later stages of the evolution (around year 26). For  $\alpha=0$ , the gyre inflates at a constant rate proportional to the Ekman pumping until it reaches a quasi-equilibrium in which Ekman pumping is balanced by baroclinic instability and lateral eddy fluxes out of the gyre. Thin lines in panel b) show the transition between an ice-covered and an ice-free state (blue), and vice versa (red).

around 60 m, and the freshwater content anomaly equilibrates at a maximum of around 20 000 km<sup>3</sup> (Figure 2b, thick blue line). Weak baroclinic instability develops around year 26, but the ice-ocean stress governor is sufficiently efficient in the ice-covered scenario that baroclinic instability does not play any appreciable role in gyre equilibration. Baroclinic eddies are likely additionally damped by the relaxation of the surface velocity to the ice velocity. We note that the time at which baroclinic instability develops depends on the gyre depth and, ultimately, on the magnitude of the imposed ice velocity.



**Figure 3.** Asymptotic depth anomaly  $H$ , and equivalent freshwater content anomaly (FWC, right axis, see supplementary information for details), for 5 different model runs of the wind-driven ( $H_K$ , red circles) and ice-driven ( $H_\tau$ , blue circles) scenarios, as a function of the wind ( $u_a$ ) and ice ( $u_i$ ) velocity respectively. Lines show the theoretical prediction from equation (5) for the ice driven (blue) scenario with  $R = 300$  km and  $g' = 0.0063g$ , and the least-squares fit for the wind driven (red) scenario. Blue squares represent the isopycnal depth anomaly computed from the model sea surface height anomaly as  $\frac{g}{g'}\eta$ . The gray area marks the example cases plotted in Figure 2. Arrows indicate two possible mechanisms which result in changes in the depth and freshwater content of the wind-driven gyre: an increase in the speed of the imposed ice drift and a reduction in ice cover making the governor less effective at limiting the ice-ocean stress.

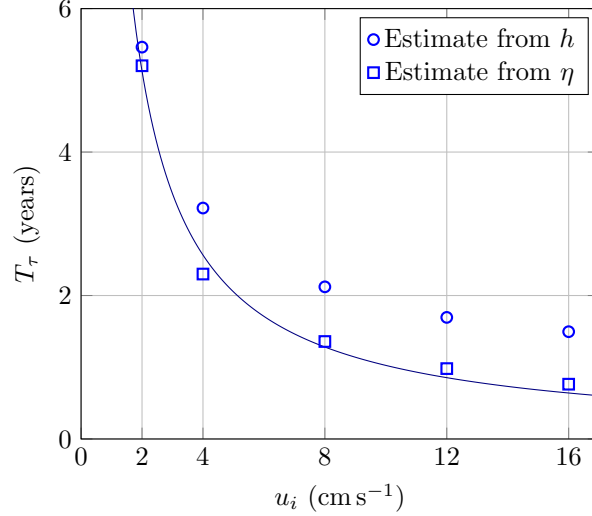
To develop a conceptual model, we assume that the isopycnal depth anomaly  $h$  increases at a rate proportional to  $w_{Ek}$ , which in the ice-driven case is proportional to the curl of the surface stress  $\tau_i$ , and thus depends on the geostrophic velocity  $u_g$  via equation (1). We may then write

$$\frac{dh}{dt} = \frac{\gamma}{\rho f} \frac{\tau_i}{R}, \quad (2)$$

where  $\gamma$  is a dimensionless constant that depends on the spatial distribution of the Ekman pumping and the geometry of the isopycnal lens.

In order to obtain an analytical solution which enables us to identify controlling parameters, we suppose the bottom current to be small so that the magnitude of the surface geostrophic velocity may be estimated by the thermal wind relationship as follows

$$u_g \sim \frac{g' h}{f R}, \quad (3)$$



**Figure 4.** Equilibration time scale  $T_\tau$  inferred by fitting (6) to the observed evolution of  $h$  (circles) and  $\eta$  (squares) for the ice-driven test cases. The blue line denotes the analytical solution from (5) with  $R = 300$  km,  $g' = 0.0063g$ ,  $C_{Di} = 0.0055$  and  $\gamma = 1.75$ . The distance between the blue squares and blue circles quantifies the departure of the numerical model from our assumption of vanishing bottom pressure gradients, resulting in an underestimate of the surface velocity by (3).

where  $g' = g\Delta\rho/\rho$  is the reduced gravity,  $\Delta\rho$  is the vertical density difference between the top and bottom of the model domain and  $\Delta\rho/\rho \approx 0.0063$ . This assumption is consistent with observations — see Figure 4 of [Meneghello *et al.*, 2017] — and the strong damping of the barotropic mode in our numerical model. It limits, however, our analysis to timescales longer than baroclinic adjustment timescales; these are the time scales of interest for the gyre equilibration. The close agreement between theory and simulation, reported below, attests to the validity of these approximations.

Using equation (3) and (1), equation (2) may be expressed as

$$\frac{dh}{dt} = \frac{H_\tau}{T_\tau} \left(1 - \frac{h}{H_\tau}\right)^2, \quad (4)$$

where

$$H_\tau = \frac{f}{g'} R u_i \quad \text{and} \quad T_\tau = \frac{(fR)^2}{\gamma g' C_{Di} u_i} \quad (5)$$

are ice-stress-equilibrated length and time scales respectively and we have assumed  $h < H_\tau$  (equivalent to  $u_g < u_i$ ) to remove the absolute value from equation (1). The length scale  $H_\tau$  is the stress-equilibrated steady-state isopycnal depth (i.e.,  $dh/dt = 0$  when  $h = H_\tau$ ), and is proportional to the ice velocity  $u_i$  and inversely proportional to the stratification  $g'$ . It can be seen from equation (3) and (5) that  $h = H_\tau$  is equivalent to  $u_g = u_i$  (i.e.,  $u_{rel} = 0$  in (1)). In this limit the gyre has been equilibrated by the ice-ocean stress governor. Equation (4), with initial condition  $h(t=0) = 0$ , then has solution

$$h = H_\tau \left( \frac{t}{t + T_\tau} \right). \quad (6)$$

Values of  $H_\tau$  and  $T_\tau$  can be obtained by fitting equation (6) to the diagnosed isopycnal depth anomaly from each simulation. The fitted curve, shown by a black dashed line in Figure 2, is almost indistinguishable from the numerical results until the development of baroclinic instability around year 26.

The fitted  $H_\tau$  and  $T_\tau$  are plotted as a function of the ice velocity with blue circles in Figure 3 and Figure 4. Blue lines in the same figures show the model prediction according to (5) with

$R = 300 \text{ km}$ ,  $g' = 0.0063 \text{ g}$ ,  $C_{Di} = 0.0055$  and  $\gamma = 1.75$ : clearly, the model does a very good job at capturing both the isopycnal depth anomaly and the time scale. This is even more true when the isopycnal depth anomaly is computed from the model sea surface height anomaly as  $\frac{g}{g'}\eta$  (blue squares); the difference between blue squares and blue circles quantifies the departure of the numerical model from the zero bottom flow assumption.

## 2.2 Wind-driven gyre ( $\alpha=0$ ): eddy-equilibrated

The reference wind driven experiment (Figure 2a, right, and Figure 2b, thick red line) has an imposed anticyclonic wind-speed maximum of  $4.8 \text{ m s}^{-1}$ , corresponding to a surface stress of  $\tau_a = 0.04 \text{ N m}^{-2}$ , equivalent to the initial stress in the ice-driven scenario. Initially, the depth of the gyre (diagnosed by  $h$ ) and its freshwater content increase linearly at a constant rate proportional to the vertical Ekman pumping of order  $w_{Ek} \approx \tau_a/(\rho f R) \approx 30 \text{ m yr}^{-1}$  (Figure 2b, thick red line). The linear increase in  $h$  proceeds until baroclinic instability develops. By year 2 of the simulation,  $h \approx 120 \text{ m}$  and the freshwater content anomaly reaches  $40\,000 \text{ km}^3$ , or twice the asymptotic values of the ice-driven scenario despite the initial Ekman pumping rate being the same. Baroclinic instability ultimately arrests the deepening with eddy fluxes transporting freshwater laterally out of the gyre (Figure 2a, right). The gyre then reaches a quasi-steady equilibrium characterized by an active eddy field, and a balance between Ekman downwelling and eddy fluxes. This is the limit case studied by *Manucharyan et al.* [2016]; *Manucharyan and Spall* [2016]; *Meneghello et al.* [2017].

The statistically steady state equilibrium of the gyre can be described as a balance between the mean (Eulerian) overturning streamfunction (proportional to surface wind stress), and the eddy overturning streamfunction [*Andrews and McIntyre*, 1976; *Marshall and Radko*, 2003; *Plumb and Ferrari*, 2005; *Doddridge et al.*, 2016]. This vanishing residual-mean circulation framework [*Meneghello et al.*, 2017] yields the following scaling for the equilibrated value of  $h$ :

$$H_K \sim \frac{R}{\rho f K} \tau_a = \frac{R}{\rho f K} \rho_a C_{Da} u_a^2 = u_a R \sqrt{\frac{\rho_a C_{Da}}{\rho f \kappa}}, \quad (7)$$

where  $K$  is eddy diffusivity and the subscript  $K$  indicates eddy equilibrated. The final equality assumes a linear relationship between eddy diffusivity and isopycnal slope,  $K = \kappa H_K / R$  (for some constant  $\kappa$ ). The linear relationship between  $u_a$  and equilibrated isopycnal depth  $H_K$  implied by equation (7) is clear over the range of imposed  $u_a$  in the five wind-driven simulations (Figure 3, red circles). Our results are in agreement with previous findings of *Proshutinsky et al.* [2002, 2009]; *Davis et al.* [2014] and the theory of *Manucharyan et al.* [2016]; *Manucharyan and Spall* [2016]; *Meneghello et al.* [2017].

Finally we carry out two experiments to examine the effect of a sudden transition between an ice-covered and an ice-free gyre. The eddying, deeper halocline transitions rapidly to a non-eddying solution when the governor is turned on by adding the ice cover (Figure 2b, thin red line). The shallower, non-eddying solution transitions to an eddying solution when the governor is turned off by removing the ice cover (Figure 2b, thin blue line).

## 3 Implications of the ice-ocean stress governor

We have analyzed and compared two different mechanisms governing the equilibration of the Beaufort Gyre. In the wind driven scenario in the absence of ice, the depth and freshwater content of the gyre is governed by the balance between Ekman pumping accumulating surface freshwater and a vigorous eddy field releasing it by baroclinic instability. This has been previously hypothesized to play a central role in gyre equilibration [*Manucharyan et al.*, 2016; *Manucharyan and Spall*, 2016; *Meneghello et al.*, 2017]. Here we have described another fundamental mechanism, namely the ice-ocean stress governor, a negative feedback between the ice-mediated Ekman pumping and the surface geostrophic currents of the gyre. We have demonstrated how, in an ice-driven gyre, the spin up of the gyre, its isopycnal depth anomaly and its freshwater content, can be regulated by the interaction between the sea-ice and the surface geostrophic current flowing at comparable speeds. In

this alternative scenario, the regulating mechanism drives the freshwater accumulation rate to zero rather than it being released by eddy transport.

We have considered both completely ice covered ( $\alpha = 1$ ) and ice free ( $\alpha = 0$ ) scenarios. It should be noted that in the presence of low ice concentrations, when ice is free-drifting (i.e., no lateral internal ice stress), the ice is accelerated with respect to the geostrophic current until the air-ice stresses are in balance with the ice-ocean stresses. This is equivalent to the ice free case. By contrast, internal stresses in a previously mobile ice would decouple the ice velocity from the wind, slow down the ice and result in the anticyclonic gyre rubbing against the ice cover and spinning down, with upwelling and divergence of freshwater out of the gyre through surface Ekman processes. This is the case shown in our idealized setting by the thin red line in Figure 2b.

Here our focus has been the equilibrium state of the gyre, and our analysis is limited to timescales longer than the baroclinic adjustment time scale of the gyre; we assume that barotropic flows associated with the initial adjustment are damped, and that (3) is appropriate.

How do an eddy equilibrated and a governor-equilibrated gyre compare? The simulations shown in Figure 2, which employ the same initial stress and Ekman pumping, suggest that the governor-equilibrated isopycnal depth anomaly (and accumulated freshwater) is about half of what one might expect if eddies were the balancing mechanism. While care must be taken when comparing the linear time scale of the eddy equilibration mechanism with the non-linear time scale of the ice-ocean stress governor, the governor time scale is, depending on the assumed ice velocity, likely much faster than the eddy equilibration timescale  $\frac{R^2}{K} \approx 10$  years.

Once at equilibrium, the wind-driven gyre can be disrupted in two ways, as summarized by the arrows in Figure 3: a change in the wind or ice speed, as suggested by previous authors [Giles *et al.*, 2012], or a change in the effectiveness of the ice-ocean stress governor in reducing the surface stress, associated with a change in ice concentration. This suggests the potential for an important shift in Beaufort Gyre dynamics under projected continued summer sea-ice decline in the coming years and decades. Increased wind-driven Ekman downwelling in the summer and autumn on a gyre characterized by higher ice mobility (or no ice at all) will result in a deeper gyre and faster geostrophic currents. However faster geostrophic currents will likely drive a strong upwelling during the ice-covered winters. One might expect a stronger seasonal cycle and spatial variability in isopycnal depth in a warmer Arctic. The effectiveness of the governor in reducing the surface stress will diminish as the ice cover declines. Depending on the time scale of development of baroclinic instability, a more intense eddy field could develop during summer and autumn, to be subsequently damped by the ice cover during the following winter. This may in turn impact the dynamics of the halocline that insulates the ice-cover from warmer waters at depth, and hence the persistence of the ice-cover itself.

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